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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/757,953	
	Filing Date	January 15, 2004	
	First Named Inventor	Carl Frederick Nelson	
	Art Unit	3712	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	9	Attorney Docket Number	94992/00001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	McCarter & English, LLP Four Gateway Center - 100 Mulberry Street, Newark, NJ 07102 By: Paul F. Swift, 34,938
Signature	
Date	May 11, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the			
Typed or printed name	Josephine LoBello		
Signature		Date	May 11, 2004

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94992-00001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re patent application of  
CARL FREDERICK NELSON, ET AL.

Serial No.: 10/757,953

Filed: January 15, 2004

Confirmation No.: 5052

For: SYSTEM AND METHOD FOR  
PROVIDING CUSTOMIZED, EFFECTIVE,  
RISK BASED ETHICS AND  
COMPLIANCE TRAINING AND  
INFORMATION USING A NETWORK

Group Art Unit: 3712

Examiner: To be assigned.

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Amendment, Commissioner for Patents, P.O. Box 1450,  
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Josephine L. Zelle 5-11-04  
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**Mail Stop Non-Fee Amendment**

Commissioner for Patents  
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**AMENDMENT**

Dear Sir:

Please make the following amendments in the above-identified patent  
application.

**Amendments to the Drawings begin on Page 2 of this Amendment.**

Appln. No. 10/757,953

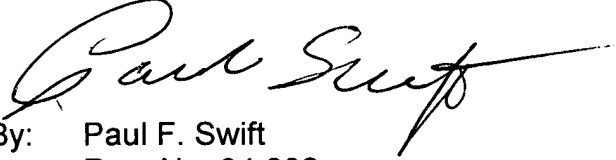
**Amendments to the Drawings:**

The attached six (6) sheets of drawings are formal replacement drawings for Figures 1-6.

No fee is believed to be due in connection with the submission of this Amendment. If fees are due as a result of this Amendment, the Examiner is authorized to charge them to Deposit Account No. 501402.

Respectfully Submitted,

McCARTER & ENGLISH

  
By: Paul F. Swift  
Reg. No. 34,938

100 Mulberry Street  
Newark, NJ 07102  
(973) 622-4444

PFS/sd

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